

AF/1632/4

# AMENDMENT TRANSMITTAL LETTER (Small Entity)

RECEIVED

Applicant(s): GONDA

Docket No.

AERX061

MAY 08 2001

Serial No.  
09/330,903

Filing Date  
June 11, 1999

Examiner  
R. Schnizer

Group Art Unit  
1600/2900

TECH CENTER

Invention: METHODS OF DELIVERING AEROSOLIZED POLYNUCLEOTIDES TO THE RESPIRATORY TRACT

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.


- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

### CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	36 -	20 =	16 x	\$9.00	\$144.00
INDEP. CLAIMS	14 -	3 =	11 x	\$40.00	\$440.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$584.00

- ☐ No additional fee is required for amendment.
- ☒ Please charge Deposit Account No. 50-0815 in the amount of \$584.00  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

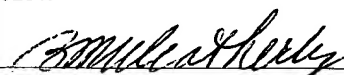
  
Signature

Dated: May 1, 2001

Paula A. Borden, Reg. No. 42, 344

BOZICEVIC, FIELD & FRANCIS  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Phone: (650) 327-3400  
Fax: (650) 327-3231

I certify that this document and fee is being deposited on May 1, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

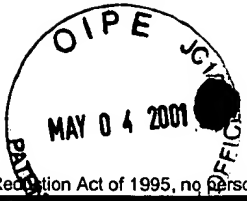


Signature of Person Mailing Correspondence

Barbara M. Weatherly

Typed or Printed Name of Person Mailing Correspondence

CC:



Approved for use through 10/31/2002 OMB 0851-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
PTQ/SB/PT (1-0)

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<b>FEE TRANSMITTAL</b> for FY 2001  <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		MAY 08 2001	
Application Number		09/330,903	
Filing Date		June 11, 1999	
First Named Inventor		Gonda, et al.	
Examiner Name		R. Schnizer	
Group Art Unit		1632	
Attorney Docket No.		AERX061	
TOTAL AMOUNT OF PAYMENT		\$1,184.00	

<b>METHOD OF PAYMENT</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	50-0815
Deposit Account Name	Bozicevic, Field & Francis LLP
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

<b>FEE CALCULATION</b>			
<b>1. BASIC FILING FEE</b>			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			
<b>2. EXTRA CLAIM FEES</b>			
Total Claims 36 -20** = 16		Fee from below 9.00	Fee Paid 144.00
Independent Claims 14 -3** = 11		Fee from below 40.00	Fee Paid 440.00
Multiple Dependent			
<b>Large Entity Small Entity</b>			
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$584.00

<b>FEE CALCULATION (continued)</b>			
<b>3. ADDITIONAL FEES</b>			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	445.00
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	155.00
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)			\$600.00

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Paule A. Borden	Registration No. (Attorney/Agent)	42,344
Signature		Telephone	(650) 327-3400
		Date	May 1, 2001

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